

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Takeshi OGASAWARA et al.

Title:

AUTOMATIC TRANSMISSION

APPARATUS FOR VEHICLE

Appl. No.:

10/768,181

Filing Date:

2/2/2004

Examiner:

William C. Joyce

Art Unit:

3682

Confirmation

3664

Number:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the mailing date of the foreign search report.

RELEVANCE OF EACH DOCUMENT

The foregoing documents came to Applicants' attention during a search of the corresponding Japanese application. The Japanese Patent Office made certain characterizations of the references. The disclosure of these characterizations should not be construed as an admission of or agreement to the opinions expressed in the Japanese Office Action. A copy of the Japanese Office Action setting forth the portion of each reference considered relevant by the examiner is attached.

Applicant wishes to advise the Examiner that document JP 11-286225, cited in the Japanese Office Action, was previously submitted in an Information Disclosure Statement dated February 2, 2004, and is not provided herewith.

Unless otherwise indicated, no English translation is readily available (not considering machine-generated translations that may be freely available online, to both the Applicant and the PTO) for each of the non-English language documents. However, a commercially available English language abstract is provided herewith, where indicated on the attached Form PTO/SB/08. Inasmuch as Applicants have endeavored to provide at least one item that complies with the requirement for a "concise explanation of relevance" for each of the non-English language documents, each of these documents has been submitted in compliance with the PTO requirements and should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Applicant respectfully requests that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

Date: June 26, 2007

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PTO/SB/08 (09-06)

Approved for use through 03/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: June 26, 2007

(use as many sheets as necessary) Sheet of 1 1

Complete if Known				
Application Number	10/768,181			
Filing Date	2/2/2004			
First Named Inventor	Takeshi OGASAWARA			
Art Unit	3682			
Examiner Name	William C. Joyce			
Attorney Docket Number	062709-0131			

	U.S. PATENT DOCUMENTS				
Examiner Cite No.1	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			

UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Application Document Serial Number-Kind Code ² (if known)	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS						
Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T [®]	
A1	JP H1-115050	08-02-1989	Hino Motors, Ltd.		Ab	
A2	JP 06-265017	09-20-1994	Kubota Corp.		Ab	
A3	JP 2002-286499	10-03-2002	Aisin Seiki Co. Ltd.		Ab	
A4	JP 2003-004135	01-08-2003	Calsonic Kansei Corporation		Ab	
A5	JP 2001-032924	02-06-2001	Bosch Braking Systems Co. Ltd. Mitsubishi Motors Corp.		Ab	
	No.1 A1 A2 A3 A4	No.1 Country Code ³ Number ⁴ Kind Code ⁵ (<i>if known</i>) A1 JP H1-115050 A2 JP 06-265017 A3 JP 2002-286499 A4 JP 2003-004135	Cite No.1 Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known) Publication Date MM-DD-YYYY A1 JP H1-115050 08-02-1989 A2 JP 06-265017 09-20-1994 A3 JP 2002-286499 10-03-2002 A4 JP 2003-004135 01-08-2003	Cite No.1 Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known) Publication Date MM-DD-YYYY Name of Patentee or Applicant of Cited Documents A1 JP H1-115050 08-02-1989 Hino Motors, Ltd. A2 JP 06-265017 09-20-1994 Kubota Corp. A3 JP 2002-286499 10-03-2002 Aisin Seiki Co. Ltd. A4 JP 2003-004135 01-08-2003 Calsonic Kansei Corporation A5 JP 2001-032924 02-06-2001 Bosch Braking Systems Co. Ltd.	Cite No.1 Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known) Publication Date MM-DD-YYYY Name of Patentee or Applicant of Cited Documents Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear A1 JP H1-115050 08-02-1989 Hino Motors, Ltd. A2 JP 06-265017 09-20-1994 Kubota Corp. A3 JP 2002-286499 10-03-2002 Aisin Seiki Co. Ltd. A4 JP 2003-004135 01-08-2003 Calsonic Kansei Corporation A5 JP 2001-032924 02-06-2001 Bosch Braking Systems Co. Ltd.	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T [®]

Examiner Signature	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.